

FISH & RICHARDSON P.C.

225 Franklin Street
Boston, Massachusetts
02110-2804

Telephone
617 542-5070

Facsimile
617 542-8906

Web Site
www.fr.com

Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951

September 24, 2001

Attorney Docket No.: 10575-004001

Box CPA
Commissioner for Patents
Washington, DC 20231

This paper is a request for a continuation under 37 CFR §1.53(d) of:

Applicant: PETER B. MADOFF
Title: OPENING PRICE PROCESS FOR TRADING SYSTEM

Prior U.S. application no: 09/392,018
Filing Date: September 8, 1999

The prior application was complete as defined by 37 CFR §1.51(b), or was a national stage of an international application filed in compliance with 35 USC §371.

This request for a CPA is the reference to the prior application noted above as required by 35 USC §120. Kindly abandon the prior application in favor of the continued proceedings that are requested herein.

Kindly enter the unentered amendment which was previously filed on July 23, 2001, but was not entered in the prior prosecution of this case.

The prior application is assigned of record to Primex Holdings LLC, a New York corporation, by virtue of an assignment submitted to the Patent and Trademark Office and recorded on November 18, 1999 at 010400/0041.

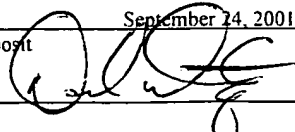
This request includes 2 sheets.

Enclosures:
— Postcard.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL485518655US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit September 24, 2001
Signature 
Typed or Printed Name of Person Signing Certificate PETER B. MADOFF



BOSTON

DALLAS

DELAWARE

NEW YORK

SAN DIEGO

SILICON VALLEY

TWIN CITIES

WASHINGTON, DC

Commissioner for Patents
September 24, 2001
Page 2

The entire disclosure of the prior application is part of this application and is incorporated by reference.

Basic filing fee	\$710
Total claims in excess of 20 times \$18	\$180
Independent claims in excess of 3 times \$80	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$890

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

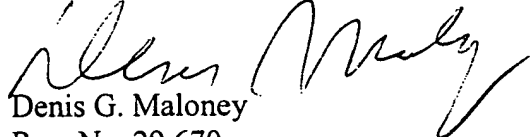
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

DENIS G. MALONEY
Fish & Richardson P.C.
225 Franklin Street
Boston, Massachusetts 02110-2804

Respectfully submitted,



Denis G. Maloney

Reg. No. 29,670

Enclosures

DGM/mgc

20317898.doc

FR FISH & RICHARDSON P.C.

225 Franklin Street
Boston, Massachusetts
02110-2804

C 133537

PAY

Eight Hundred Ninety

DOLLARS

TO THE ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

DATE

AMOUNT

9/24/01 890

FISH & RICHARDSON P.C.

52-153/112



FLEET MAINE, N.A.
SOUTH PORTLAND, ME

BY

[Signature]

10575-004001 M/C

Exp. Mail EL485518655US

PATENT

ATTORNEY DOCKET NO.: 10575-004001

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant: Peter B. Madoff

Title: Opening Price Process for Trading System

- [XX] Transmittal Letter CPA
[] Appln _____ Pages of Spec. _____ Pages of Claims _____ Total Claims _____ Pages of Abstract
[] Rule 62 Filing Request (FWC) _____ Pages
[] Assignment
[] Deposit Account Order Form (2 copies)
[XX] Check \$ 890 _____
[] Small Entity Statement
[] Drawings _____ Sheets Formal _____ Sheets Informal
[] Combined Declaration and Power of Attorney _____ signed _____ unsigned
[] Preliminary Amendment - _____ Pages
[] Information Disclosure Statement
[] PTO 1449 Form - _____ Pages
[] Prior Art References - Number of References _____
[] Priority Document - _____ Pages
[] English Translation
[] Other _____

Atty/Sec Initials DCM/mgc Client/ Matter Name Primex Holdings/Opening Price Date 9-24-01